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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Forbes)
App. No. : 09/939,417)
Filed : August 24, 2001)
For : VERTICAL TRANSISTOR)
WITH HORIZONTAL GATE)
LAYERS)
Examiner : Unknown)

Group Art Unit Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 26, 2001

(Date)

John R. King
John R. King, Reg. No. 34,362

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. Applicant respectfully directs the Examiner's attention to U.S. Patent No. 5,909,618, U.S. Patent No. 5,991,225, and U.S. Patent No. 6,124,729 as potentially related to the above-identified application and respectfully asks the Examiner to refer to any continuation or divisional applications that may exist for the above issued patents. This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/26/01

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